

● RECEIVEDS

JAN 2 4 2003

DIECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David C. Turner, et al.

Serial No.: 10/029,526 jan ArteUnit: Not Assigned

Filed : December 21, 2001 Examiner: Not Assigned

For ANTIMICROBIAL LENSES AND METHODS OF THEIR USE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on

January 13, 2003 (Date of Deposit)

Kate Willan
(Name of applicant, assignee, or Registered Representative)

(Signature)

January 13, 2003

(Date of Signature)

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required. In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with [] the first or Second After Final Submission, therefore: Statement in Accordance with §1.97(e) (attached); or \Box Please charge Deposit Account No. 10the fee of \$180.00 as set forth in §1.17(p). In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of: Statement in Accordance with §1.97(e) (attached); or

	Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).	
;	In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).	
•	☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.	
	Copies of references listed on the attached Form PTO- 1449 are enclosed herewith EXCEPT THAT:	
	In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.	
	<pre>If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.</pre>	
	$oxed{oxed}$ There are no listed references which are not in the English language.	
	The relevance of those listed references which are not in the English language is as follows:	
	- 3 -	

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/VTN-570/KH. This form is submitted in triplicate.

Respectfully submitted,

Karen Harding

Reg. No. 33,967

Attorney for Applicant

Johnson & Johnson One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 (904) 443-3074 DATED: January 13, 2003







PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to	o a collection of information unless it displays	
r form 1449A/PTO	Application Number	10/029,526
	Filing Date	12/21/2001
ORMATION DISCLOSURE	First Named Inventor	David C. Turner
ATEMENT BY APPLICANT	Group Art Unit	Not Assigned
	Examiner Name	Not Assigned
(use as many sheets as necessary)	Attorney Docket Number	VTN-570

		01100	01 1 01 1				
				U.S. PATENT DOCUMENTS			
	Cite No.1	U.S. Patent Doo	cument		Data of Dublication	Daniel Calendaria	
Examiner Initials			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear		
		5,213,801	Α	Sakuma et al.	05-25-1993		
		5,515,117	А	Dziabo et al.	05-07-1996		
						<u> </u>	
						岁 至 ()	
	1					CENTER *	
						g g m	
						750012900	
		٠.				8	
						•	
	 					<u> </u>	

FOREIGN PATENT DOCUMENTS Foreign Patent Document Date of Publication Pages, Columns, Lines, of Cited Document where relevant Name of Patentee or passages or relevant Examiner Cite mm-dd-yyyy T⁸ Applicant of Cited Document figures appear Initials KindCode⁵ Office³ Number⁴ No.1 1 050 314 Healthshield Technologies 11-08-2000

Examiner	Date		
Signature	Considered	`	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. s Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SUBMISSION UNDER MPEP 609 D

Page 1 of 1

Application Number	10/029,526	Ŧ
Filing Date	12/21/2001	0
First Named Inventor	David Turner	m
Group Art Unit	Not Assigned	\leq
Examiner Name	Not Assigned	
Attorney Docket Number	VTN-570	
		_

	U.S. PATENT DOCUMENTS						
	Cite No.1	of Cited Document	U.S. Patent Docum	nent	Pages, Columns, Lines where relevant passage relevant figures appeal		
Examiner				Kind Code ²	where relevant nassage		
Initials			Number	(if known)	relevant figures appear		
		-"					

FOREIGN PATENT DOCUMENTS Pages, Columns, Lines, where relevant passages Foreign Patent Document Name of Patentee or or relevant figures Examiner Cite Applicant of Cited Document Number⁴ KindCode⁵ appear OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), T² Examiner title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), No.1 volume-issue number(s), publisher, city and/or country where published Initials* PCT International Search Report PCT/US01/50580 dated 10/14/2000

Examiner	Date	
Signature	Considered	